



Build crime prevention and compliance into daily operations

AML Compliance & Fraud Management



For organizations looking to enhance their AML compliance program, Alessa is a platform that integrates with core systems for real-time due diligence, transaction monitoring, sanctions screening and regulatory reporting capabilities. It is configurable to clients' needs and can be deployed as modules or as a complete end-to-end risk management solution. Deployments can be on-premise, hosted or a hybrid of both.

Meets Unique Needs



Designed for banks, MSBs, casinos, FinTechs and other regulated entities of all types and sizes, Alessa is configurable as an end-to-end enterprise solution or as a modular solution depending on the organization's needs.

Compliance Simplified



Easy to implement in new environments or in established core infrastructure, Alessa is easy to use, which helps engage the entire business in compliance and get ahead of fraud detection challenges.

Holistic View of Data

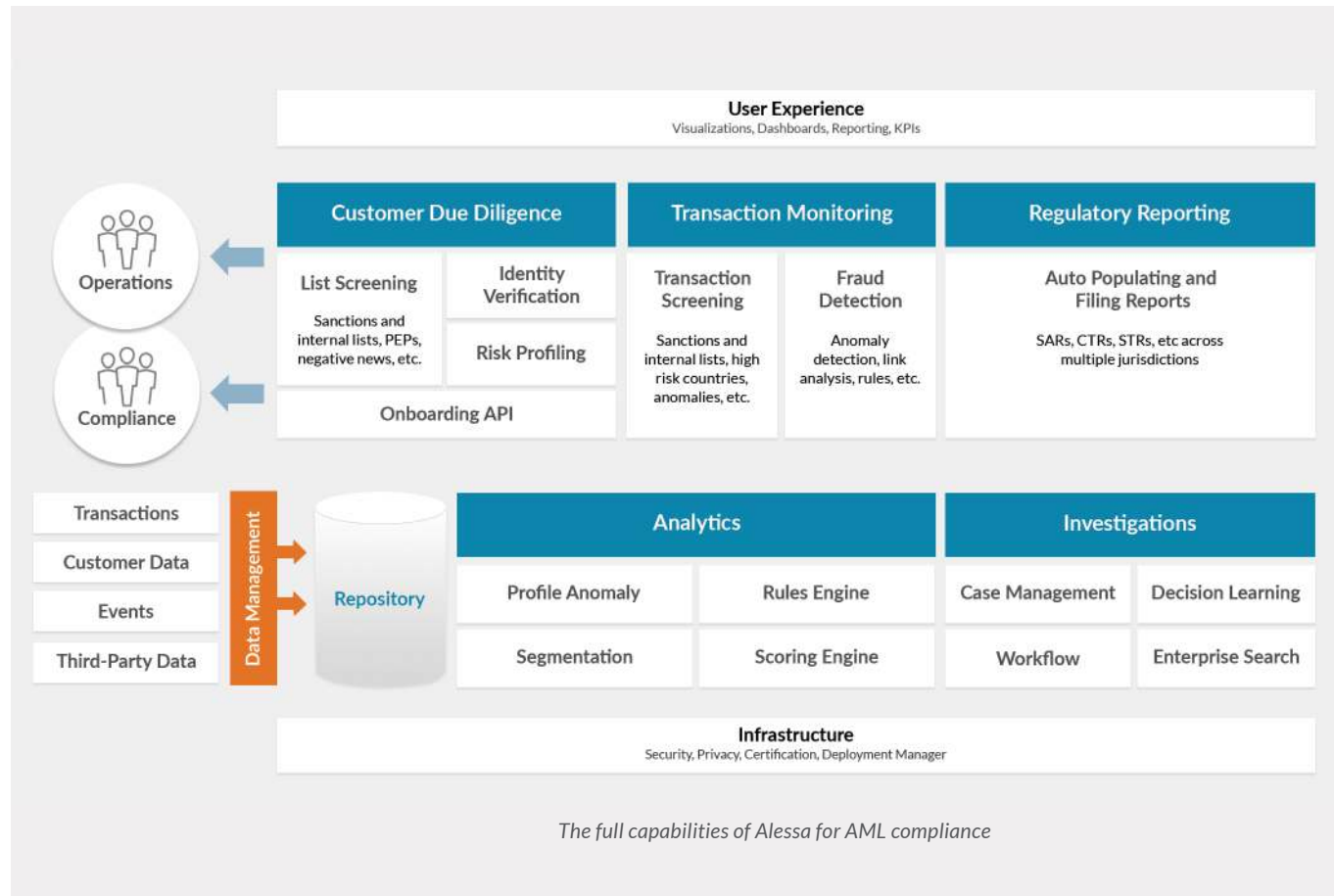


Alessa can connect to almost any core system, allowing organizations to have a holistic view of their data, detect more suspicious activities and quickly investigate and resolve alerts.

Reduce Workloads



Alessa simplifies compliance by reducing time spent on due diligence with real-time screening, automating periodic screening, managing false positives and auto-populating at least 70 percent of regulatory reports.





Capabilities

Customer Due Diligence

To support KYC, CDD and EDD processes, Alessa combines data from onboarding, transaction monitoring and other core systems with identity verification and risk intelligence data to provide updated risk profiles and scores that are based on activities and relationships.

Sanctions Screening

Alessa screens individuals and businesses against multiple lists including PEPs, negative news, OFAC and other sanctions lists. Screening can be done in native characters and in real time, periodically or on demand.

Transaction Monitoring

Alessa can analyze every transaction in real time and using an extensive library of analytics and scenarios, generate alerts for suspicious activities. These are sent to the appropriate personnel via text or email for investigation and/or reporting.

Regulatory Reporting

All suspicious activity alerts include data needed for regulatory reports. Once it is determined that an STR or a SAR needs to be filed, Alessa can auto-populate (and electronically file) as much as 70% of these reports. Alessa can also automate as much as 100% of CTRs.

Metrics & Insights

Alessa offers configurable dashboards that track key metrics and allows compliance staff to drill down into the alerts. Advanced analytics allow for sound decision-making and actions to be taken based on comprehensive information and insights.

Configurable

With Alessa, organizations can select the functionality they need or the complete solution. Permission-based functionality allows different users to access only the information they need to perform their responsibilities, and data can be maintained in the cloud or on-premise, ensuring compliance with regulations.

Data Management


Alessa accesses data from any platform, including ERPs, bespoke applications and core business systems. The data is then cleansed and aggregated to increase its accuracy, and cross-referenced to reveal big-picture insights. Better data means better insights.

Investigation Tools

Alessa offers dynamic workflows to guide processes and investigations. Enterprise search capabilities allow for easy search of data within internal and external sources while case management offers a collaborative approach to investigations, compliance and decision making.

Risk Scoring

Alessa uses data from various sources, including sanctions lists, to provide an assessment of the risks of doing business with an individual or business. The solution also periodically reviews an organization's customer base and updates their risk level based on their activity and third-party data.





"We implemented the AML compliance solution from CaseWare RCM and the automation of regulatory reporting lifted a tremendous burden from our day-to-day operations. The software is a great data mining and analysis tool that is easy-to-use, makes tasks less tedious and we are better equipped to identify and mitigate risks."

Carolyn DaCosta, Company Secretary and Group Compliance Manager, Jamaica Money Market Brokers

About CaseWare RCM

CaseWare RCM Inc. is the maker of Alessa, a financial crime detection, prevention and management solution. With deployments in more than 20 countries in banking, insurance, FinTech, gaming, manufacturing, retail and more, Alessa is the only platform organizations need to identify high-risk activities and stay ahead of compliance. To learn more about how Alessa can help your organization ensure compliance, detect complex fraud schemes, and prevent waste, abuse and misuse, visit us at www.alessa.com.



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