



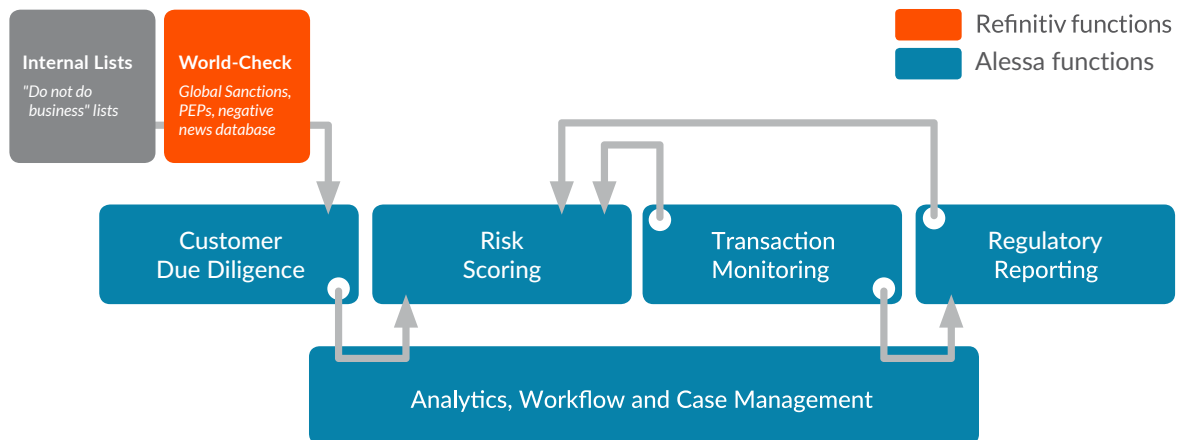
Due Diligence with Refinitiv World-Check

Refinitiv World-Check Risk Intelligence expands screening and due diligence capabilities by providing a comprehensive database that delivers over 3 million continuously updated records of heightened-risk individuals and entities worldwide.

When combined, Alessa and World-Check offer customers the power to screen in real time during onboarding and for transactions to block potentially high-risk situations.

This enhanced capability allows screening of:

- Politically exposed persons (PEP), close associates, and family members
- State owned entities and state invested enterprises
- Individuals on sanctions, global regulatory and law enforcement lists
- Negative media
- Vessels information and more



World-Check data is used within Alessa to help our customers accurately assess the risk associated with an entity.

Benefits of using Alessa and World-Check

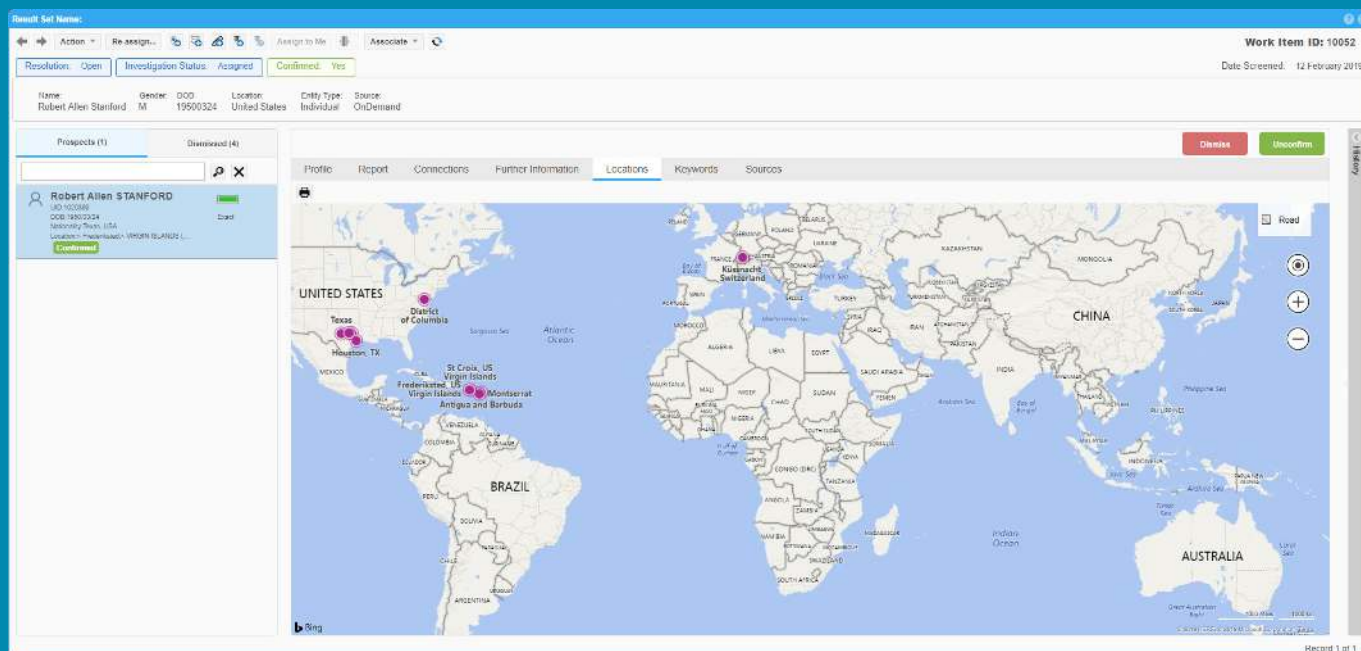
Use a single solution for compliance:

- Choose from on-demand, periodic or real-time screening options
- Incorporate results from risk screening into onboarding, transaction monitoring, risk scoring and regulatory reporting processes
- Use automated workflows and case management to drive and track investigations
- Maintain an audit trail of all due diligence activities for auditors and regulators
- Reduce workload and have a holistic view of risks across the organization

Enhance screening processes:

- Rely on advanced techniques to identify matches and reduce false positives
- Triage matches to quickly and easily confirm or dismiss prospects
- Match prospects by aliases, biography, nationality, date of birth and more
- Review entity connections, locations, key incidents (convictions/sentencing) and presence on sanction and watch lists
- Update risk scores with entity and transaction information for a risk-based approach to compliance

To learn how you can optimize your due diligence activities with Alessa and World-Check, visit us at www.alessa.com or email us at alessa@caseware.com.



Alessa provides a list of known connections as well as potential locations to help confirm entities and their associated risk.

About CaseWare RCM

CaseWare RCM Inc. is the maker of Alessa, a financial crime detection, prevention and management solution. With deployments in more than 20 countries in banking, insurance, FinTech, gaming, manufacturing, retail and more, Alessa is the only platform organizations need to identify high-risk activities and stay ahead of compliance. To learn more about how Alessa can help your organization ensure compliance, detect complex fraud schemes, and prevent waste, abuse and misuse, visit us at www.alessa.com.